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SERIAL NO. 09/996,129

IBM DOCKET NO. AUS920010639US1

DATE: August 1, 2005

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Docket No. AUS920010639US1

Serial No. 09/996,129

Atty: JSL / JVL

Applicant: Sweitzer, et al.

☒ Transmittal Letter (2 copies)

☐ Amendment (☐ pages)

☐ Amendment AF

☐ Ext. of Time

☒ IDS Statement

☒ Form PTO-1449 (Modified)

☐ Other

☒ Certificate of Facsimile

☐ Notice of Appeal

☐ Appeal Brief (3 copies)

☐ Reply Brief

☐ Change of Address

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Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other \$180.00

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SERIAL NO. 09/996,129

IBM DOCKET NO. AUS920010639US1

DATE: August 1, 2005

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<i>Leslie A. Van Leeuwen</i>	<i>8/1/05</i>
Leslie A. Van Leeuwen	Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Sweitzer, et al.

For: System and Method for Analyzing Software Components using Calibration Factors

Enclosed are:

☒ Information Disclosure Statement;

☒ Modified form 1449 listing 3 references;

37 CFR § 1.97 is satisfied by the following:

☒ This IDS is being filed pursuant to CFR § 1.97(c) and:

☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and

☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

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SERIAL NO. 09/996,129

IBM DOCKET NO. AUS920010639US1

AUG 01 2005

DATE: August 1, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sweitzer, et. al.

Serial No.: 09/996,129

Filed: November 28, 2001

Title: System and Method for Analyzing
Software Components using
Calibration Factors

: Group Art Unit: 2164
: Intellectual Property Law Department
: International Business
: Machines Corporation
: Intellectual Property Law Dept.
: 11400 Burnet Road
: Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
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Sir:

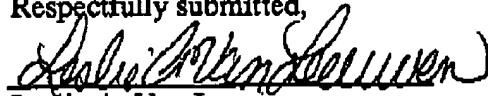
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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08/02/2005 JBALINAN 00000036 090447 09996129
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Page 1 of 1

Any Ref. No. 1039

In Place of FORM PTO-1449 (Modified)

Serial No. 09/996,129Applicant: Sweltzer, et. al.Filing Date: 11/28/2001Group: 2164Atty. Docket No. AUS920010639US1**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT****U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA	6,327,551	12-2001	Peterson et al.	703/1	
_____	AB	6,851,104	02-2005	Rodrigues da Silva, Fernando Jose Barros	717/104	
_____	AC	2002/0087945	07-2002	Marshall et al.	717/107	
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Classification</u>	<u>Translation Yes No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)Examiner
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Date Considered:

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